

Intermediary application

Direct application (Direct applicants to start application at page 2)

Adviser Declaration

Applicant reference

Name of adviser

Company name

FCA reference number
Your firm

Office address
Postcode

Email address

Contact telephone number

- I confirm that I am acting on behalf of the company/individuals and have their permission to access their information and to supply it to you with this application, and at any time during the life of the mortgage. I confirm that to the best of my knowledge and belief, the information contained in this application is true. If you suspect my or the applicant's involvement in fraudulent activity you will take appropriate action against me and/or the applicant.
- I have discussed the affordability of this loan and informed the company/individuals of the information that they need to provide to you in order for you to assess affordability, and of the need to provide complete and accurate details of income. I have explained that if they do not and as a result you are unable to assess affordability you will not be able to proceed with the loan application.
- I agree to your terms on my and the Firms behalf, as outlined in your agreed Terms of business.

Privacy Notice

- How your credit reference and fraud prevention agencies use customer information, is set out in more detail in your Summary Privacy Notice. You are providing this to me as the Applicant(s) representative and in order to allow you to lawfully progress their application, you require confirmation that I, as the Applicant(s) representative, have provided a copy of the Summary Privacy Notice to each of the Applicants, before you can lawfully proceed with their application.
- I confirm that I have provided each Applicant with a copy of the Summary Privacy Notice.

Signed

Print name

Date

Sole Trader/Partner Information

Applicant 1 Personal Details

Full name including title

Have you been known by any other name(s) in the last 3 years?

 Y N

If yes, previous full name

Marital status

Date of birth

 D D M M Y Y

Telephone

Email

Nationality

Current resident in the UK

 Y N

Length of UK residency

 Years

Address

 Postcode

Residential status

Time at address

 Months/Years

If less than 3 years, please provide previous address

Address

 Postcode

Residential status

Time at address

 Months/Years

Applicant 1 – Please complete the below if you are employed

National Insurance number

Occupation

Start date

Annual gross salary

Additional gross income

Applicant 1 – Please complete the below if you are self-employed (please also provide SA302 statement)

National Insurance number

Business type

Date trading commenced

Percentage of business owned

Accountant's name

Accountant's telephone

Accountant's address

Applicant 1 Additional Income (this should include income from rental properties)

Additional income source

Gross annual additional income

Net monthly additional income

Applicant 1 Credit History

Have you ever been bankrupt/sequestered?

Date of discharge

Have you ever entered into an IVA or made arrangements with creditors?

Date of satisfaction

Any defaults registered in last 36 months?	<input type="checkbox"/> Y <input type="checkbox"/> N	Total amount of defaults registered in the last 36 months	<input type="text" value="£"/>
Any CCJs registered in last 36 months?	<input type="checkbox"/> Y <input type="checkbox"/> N	Date of most recent CCJ	<input type="text"/>
Total amount of CCJs registered in the last 36 months	<input type="text" value="£"/>	Number of CCJs registered in last 36 months	<input type="text"/>
Have you ever been convicted of theft, fraud or dishonesty?	<input type="checkbox"/> Y <input type="checkbox"/> N	If yes, please state the date of conviction	<input type="text"/>
Nature of conviction	<input type="text"/>	Length of conviction (included suspended)	<input type="text"/>

Applicant 2 Personal Details

Full name including title	<input type="text"/>		
Have you been known by any other name(s) in the last 3 years?	<input type="checkbox"/> Y <input type="checkbox"/> N		
If yes, previous full name	<input type="text"/>		
Marital status	<input type="text"/>		
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Telephone	<input type="text"/>		
Email	<input type="text"/>		
Nationality	<input type="text"/>		
Current resident in the UK	<input type="checkbox"/> Y <input type="checkbox"/> N	Length of UK residency	<input type="text" value="Years"/>
Address	<input type="text"/>		
	Postcode		
Residential status	<input type="text"/>		
Time at address	<input type="text" value="Months/Years"/>		

If less than 3 years, please provide previous address

Address	<input type="text"/>
	Postcode

Residential status

Time at address

 Months/Years

Applicant 2 – Please complete the below if you are employed

National Insurance number

Occupation

Start date

 D D M M Y Y

Annual gross salary

 £

Additional gross income

 £

Applicant 2 – Please complete the below if you are self-employed (please also provide SA302 statement)

National Insurance number

Business type

Date trading commenced

 D D M M Y Y

Percentage of business owned

 %

Accountant's name

Accountant's telephone

Accountant's address

Applicant 2 Additional Income (this should include income from rental properties)

Additional income source

Gross annual additional income

 £

Net monthly additional income

 £

Applicant 2 Credit History

Have you ever been bankrupt/sequestered?

 Y N

Date of discharge

Have you ever entered into an IVA or made arrangements with creditors?

 Y N

Date of satisfaction

Any defaults registered in last 36 months?

 Y N

Total amount of defaults registered in the last 36 months

 £

Any CCJs registered in last 36 months?

 Y N

Date of most recent CCJ

Total amount of CCJs registered in the last 36 months

 £

Number of CCJs registered in last 36 months

Have you ever been convicted of theft, fraud or dishonesty?

 Y N

If yes, please state the date of conviction

Nature of conviction

Length of conviction (included suspended)

Loan Details

Loan type

 Purchase Remortgage

Loan amount

 £

Term

 Years

Product applied for

Repayment type

 Full repayment Part & part (interest only & repayment)

If remortgaging, what is the purpose of the loan?

 Replace existing lender Purchase of BTL

Capital raising

Other

Please outline purposes of capital raising

Please confirm if you would like to add the product fee to the loan

 Y N

Accountant Details

Firm name

Address

Telephone

Email

I confirm that the Accountant has one of the qualifications: ACCA/FCCA, AAPA/FAPA, CIMA and CIPFA ACCA

Property Details

Please provide some additional details of the properties included in your portfolio:

Number of properties

Locations

Are all properties let on a
AST basis?

 Y N

Are any properties MUFB or
HMOs?

 Y N

If "Yes" to MUFB, how
many units are there?

If "Yes" to HMO, do any have
more than 5 bedrooms

 Y

Are any properties subject
to Local Authority select
licensing?

 Y N

Are any properties below
30sqm?

 Y N

Please confirm if any properties
have been built using any
cladding materials?

 Y N

If "Yes", is an EWS1 form
available?

 Y

Please confirm that you are
unrelated to the tenant

 Y N

(including spouse, civil partners, parents, children, grandparents, grandchildren
and siblings, business relations include fellow members, directors and shareholders)

Rental income per annum

 £

Please give estimated costs
per annum

 £

In addition to this information, please return a completed copy of our Property Tenancy Schedule, ensuring that any properties above are identified within.

Declaration

For the purpose of this declaration, please read "we" as meaning Julian Hodge Bank trading as Hodge Bank and "you" to mean the borrower, including all joint borrowers.

I understand, confirm and agree the following:

Use of your Personal Data

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Hodge who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application
- Verifying your identity and anti-money laundering checks
- Assist in fraud prevention
- Reporting to regulators and authorities
- Market and product analysis.

The information held about you may be shared with the following parties:

- Hodge's approved service providers in relation to this application; Other members of Hodge's group, its subsidiaries and associated companies
- Regulators or authorities where required or permitted by law.

You have the right to request access to your personal information held by Hodge; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.

Hodge may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email.

Please confirm if you would like to hear from us about these services:

Applicant 1

By Phone

By Mail

By Email

By SMS

Applicant 2

By Phone

By Mail

By Email

By SMS

We will always treat your personal details with the utmost care and will never pass or sell your information to other companies for marketing purposes.

Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- **Right of access** – you have the right to request a copy of the information that we hold about you as mentioned above
- **Right of rectification** – you have a right to correct data that we hold about you that is inaccurate or incomplete
- **Right to be forgotten** – in certain circumstances you can ask for the data we hold about you to be erased from our records
- **Right to restriction of processing** – where certain conditions apply you have a right to restrict the processing
- **Right of portability** – in certain circumstances, you have the right to have the data we hold about you transferred to another organisation
- **Right to object** – you have the right to object to certain types of processing such as direct marketing
- **Right to object to automated processing, including profiling** – protection against targeted marketing and decision making
- **Right to judicial review** - in the event that We refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

I agree to the Terms and Conditions of the account. I have read and understood the Use of Your Personal Data declaration and consent to the use of my information for the purposes stated.

I declare that the information that I have provided is correct to the best of my knowledge and belief.

(Please tick to confirm)

Declaration

Credit searches and fraud prevention

- Hodge will search credit reference and fraud prevention agencies to check your identity, credit status and help us to make decisions
- Hodge will undertake a credit search against each applicant recorded on this form
- Information on applications will be sent to credit reference agencies and will be recorded by them
- The credit reference agency will check the details supplied against third party databases, public or otherwise
- A record of the search will be retained
- The credit reference agency may use the details provided to assist other companies for verification and identification purposes
- If we decline this application, we are not obliged to tell you the reasons behind our decision
- If you borrow from us, we will give details of your accounts and how you manage it/them to credit reference agencies. If you borrow and do not repay in full and on time, credit reference agencies will record the outstanding debt. This information may be supplied to other organisations by credit reference agencies and fraud prevention agencies to perform similar checks and to trace your whereabouts and recover debts that you owe. Records remain on file for six years after they are closed, whether settled by you or defaulted
- If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering.

Loan Payments

By signing this declaration You agree that:

- You will repay the Loan in accordance with the conditions specified in your Loan Offer and General Loan Conditions
- We may add to your first monthly payment, interest from the date of completion of Your Loan to the end of that month unless Your Loan Offer states otherwise (not applicable where the loan is secured by a second charge)
- We may take other payments by Direct Debit with Your agreement which may be given by telephone or in writing
- Each of the signatories below is a director/partner who is duly authorised to make this application and has completed or fully read the contents of the application and;
- You have the power to borrow the money applied for and to mortgage the properties
- You have read and understood the terms about the product you have chosen and/or have been advised to apply for by your intermediary. We are not responsible for any advice given or recommendation made by an intermediary or other adviser about the product you have chosen
- Full details of what we do and how we, credit reference and fraud prevention agencies will use your information are published on our website. Alternatively, a copy can be sent to you on request. Please phone us on 0800 731 4076.

Applicant 1

Signed

Print name

D D M M Y Y

Date

Applicant 2

Signed

Print name

D D M M Y Y

Date



0800 721 4076



portfoliobtl@hodge.co.uk



Customers: hodgebank.co.uk

Advisers: hodgebank.co.uk/intermediaries

Our Portfolio Buy To Let loan is not regulated by the Financial Conduct Authority.

Hodge is a trading name of Julian Hodge Bank Limited which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority under registration number 204439. Registered office in England & Wales is One Central Square, Cardiff, CF10 1FS (No.743437). Hodge's Privacy Notice confirms how we manage and process your personal data. If you require more detail on how we handle your information please go to hodgebank.co.uk/privacy or call 0800 289 358.



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