

Please complete this form as accurately as possible as missing information may affect our decision.

Quote Details

Quotation reference

Official use only

Your Personal Details (the annuitant)

Full name including title

Address (applicants must reside permanently in the UK)
Postcode

Previous address (applicants must reside permanently in the UK)
Postcode

Telephone Email

Gender Male Female Date of birth

Status Married Civil partnership Other

National Insurance number

Did you receive Pensions Guidance? Y N

Your Named Dependant's Details

Relationship to you Spouse Civil partner Life partner

Full name including title

Address (applicants must reside permanently in the UK)
Postcode

Telephone Email

Gender Male Female Date of birth

National Insurance number

Dependant's pension None 50% 66% 100%

Your Existing Pension Fund Details

We will only start your annuity when all the money has been received. There is a risk that the final quote guarantee could expire on your total purchase money if one or more fund transfers are delayed.

Any tax free cash/pension commencement lump sum will be paid by your existing pension provider into the bank account noted in the Lifetime Allowance section. The balance of your fund will be transferred to us to provide your annuity.

We cannot accept business from a non-UK scheme.

Where the fund originates from a trustee pension scheme the policy will always be issued to the individual scheme member (**the policy will not be in the Trustee's name**).

	Fund 1	Fund 2	Fund 3
Full name of current provider	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Full name of current pension scheme (where known)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Current plan number	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total expected value of your fund	£ <input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Is the maximum cash fee required? If not, what percentage or value is to be taken? <small>(Subject to availability of sufficient funds and applicable maximum limits)</small>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you choose not to take tax free cash, all of the funds will be transferred to Hodge to provide your Annuity.

Approximate fund value to be paid to the receiving provider	£ <input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Did you receive Pensions Guidance?	<input type="text" value="Y"/> <input type="text" value="N"/>	<input type="text" value="Y"/> <input type="text" value="N"/>	<input type="text" value="Y"/> <input type="text" value="N"/>
If no, what is the full fund value?	£ <input type="text"/>	£ <input type="text"/>	£ <input type="text"/>

Is the current plan subject to any existing or proposed trustee in bankruptcy orders or earmarking or pension sharing orders or other receiving orders?

 Y N

 Y N

 Y N

Is there a bankruptcy order against this pension fund?

 Y N

 Y N

 Y N

	Fund 4	Fund 5	Fund 6
Full name of current provider	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Full name of current pension scheme (where known)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Current plan number	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total expected value of your fund	£ <input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Is the maximum cash fee required? If not, what percentage or value is to be taken? <small>(Subject to availability of sufficient funds and applicable maximum limits)</small>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you choose not to take tax free cash, all of the funds will be transferred to Hodge to provide your Annuity.

Approximate fund value to be paid to the receiving provider

£

£

£

Did you receive Pensions Guidance?

 Y N

 Y N

 Y N

If no, what is the full fund value?

£

£

£

Lifetime Allowance

Is the total value of:

- the pension benefits and tax free cash you have already taken (including drawdown benefits and any income taken before 2006);
- any person funds transferred overseas and;
- the benefits you are about to take

Less than the current standard Lifetime Allowance threshold of £1,055,000 for the tax year 2019/20 and £1,073,100 for the tax year 2020/21?

 Y N

Do you have any protection in place against the Lifetime Allowance tax charge?

 Y N

Understanding the Lifetime Allowance rules is important because if you provide incorrect or incomplete information you may become liable to a Lifetime Allowance tax charge.

If you unsure how to calculate the value of your benefits, please refer to your financial intermediary or the current provider(s).

Your Bank Details

Your income and any tax free cash will be paid into the UK bank or building society account as detailed below.

Name of bank/
building society
to which tax-free
cash is to be paid

Address

 Postcode

Name of account
holder(s)

Sort code

Account number

Roll number
(building societies
only)

Income
payment dates

The date your annuity policy starts will determine the day of the month on which we will pay your income unless you specify a day of the month here

Declaration

This application is a legal document and accuracy of information is essential. Please read and check carefully before signing. Copies of this application and our policy terms and conditions are available on request.

Hodge may subcontract the provision of some annuity administration to third parties who may be within or outside the UK. In this declaration "Hodge" means Hodge Life Assurance Company Limited and any third parties acting on its behalf.

Declaration to Current Provider(s)

- I would like to take benefits from the plan(s) listed in the **Your Existing Pension Fund Details** section of this application
- I authorise Hodge, the current provider and any financial intermediary named in this application to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Hodge
- I accept that in order to comply with regulatory obligations, Hodge and the current provider named in this application may need to verify my identity and residential address, and may use credit reference agency searches and ask for my documents to verify my identity and address
- I authorise and instruct you to pay me any tax-free cash as indicated, to pay the balance of sums and assets to Hodge to provide me with an annuity based on the features set out in the accepted illustration referenced in section 1 of this application, and provide any instructions and/or discharge required by any relevant third party to do so.

Until this application is accepted and complete, Hodge's responsibility is limited to the return of the total payment(s) to the current provider(s).

When payment is made to Hodge as instructed, this means I shall no longer be entitled to receive pension benefits from the whole of the plan(s) listed in the **Your Existing Pension Fund Details** section where the whole of the plan(s) is transferring, or that part of the plan(s) represented by the payment(s) if only part of the plan(s) is transferring.

I confirm that any Adviser Charge paid on my behalf by Hodge on the initial set up of this annuity:

- is wholly connected to the purchase of this annuity; and
- is appropriate to the advice and service my financial intermediary provided me in relation to this annuity purchase.

If this is not the case then some or all of the Adviser Charge and any tax-free cash may become liable to a tax charge, which I may be responsible for.

I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that Hodge and my current provider may incur as a result of any incorrect, untrue or misleading information in this application or given by me, or my behalf, or of any failure on my parts to comply with any aspect of this application.

Declaration to Hodge and Current Provider(s)

- Where I have chosen to take tax-free cash, I have not made, and do not intend to make, either directly, indirectly or by someone making contributions on my behalf, a significant* increase in my total contributions to any registered pension scheme
- A significant increase is where: the total tax free cash you receive in the 12 month period ending on the day the tax-free cash from the plan is paid, exceeds £7,500 and more than 30% of those tax-free cash sums is used to make contributions to one or more registered pension schemes which exceed the expected level of contributions. This includes any contributions you pay directly or indirectly, paid by someone on your behalf such as an employer, or which you may have paid in anticipation of receiving the tax-free cash.

Declaration

This annuity purchase and advisor charges

- I have received, read and understood:
 - the Key Features document;
 - the Policy Terms and Conditions and;
 - the Cancellation Form for this purchase and I agree to be bound by them.
- If an Adviser Charge has been indicated in section 7, I authorise Hodge Lifetime to deduct this amount in full in accordance with the Policy Terms and Conditions and pay this to my Intermediary noted in section 8
- I acknowledge that Hodge Lifetime accepts no responsibility for the provision of any advice or personal recommendations by my Adviser and that Hodge Lifetime shall not owe me liability in respect of such services
- I acknowledge that the Adviser Charge is a matter between me and my adviser and that Hodge does not assess the suitability or the amount of the Adviser Charge that I have agreed to pay
- I acknowledge that the facilitation of any Adviser Charge on my behalf is not a payment made by Hodge on its own account to my adviser, rather, it is a payment made by Hodge at my direction
- This application and the associated quote specified in section 1 will form the basis of my Guaranteed Pension Annuity policy and the income it pays me
- I will receive a final quote when all of the purchase money has been received by Hodge, which will be based on the exact fund values received (less any adviser charge payable). These values may be higher or lower than the amount quoted due to any changes in my pension fund value in the interim. If the purchase money is received within the final quote guarantee period, the final quote will be prepared on the same basis as that used to prepare the initial quote, or the current pricing basis if this is higher
- If more than one pension fund is being used to fund the purchase of the annuity I understand that, unless Hodge agrees otherwise, the annuity will not be issued until all such payments are received. No interest will be paid on such payments received before the annuity contract is issued
- I cannot assign or cash in any of the policy benefits unless legislation allows and Hodge agrees
- All the details in my chosen quote and application are, to the best of my knowledge and belief, true and complete; but if Hodge finds any to be untrue my annuity benefits may be adjusted accordingly
- Hodge may contact my Intermediary and/or the providers, managers or trustees of the pension funds being used to buy this annuity to process the transfer of funds and/or to check any information in connection with this application.

Use of my personal details

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Hodge Life Assurance Company Limited, who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application, policy and annuity payments
- Verifying your identity and anti money-laundering checks
- Assist in fraud prevention
- Reporting to regulators and authorities
- Market and product analysis
- Verifying my age and identity, and anti money-laundering checks. A search may be undertaken with a credit reference agency to verify identity.

This will mean:

- The credit reference agency will check the details supplied against any database, public or otherwise
- A record of the search will be retained
- The credit reference agency may use the details provided to assist other companies for verification purposes.

The information held about you may be shared with the following parties:

- Hodge's approved service providers in relation to this application
- Other members of Hodge's group comprising Julian Hodge Bank Limited, its subsidiaries and associated companies
- Regulators or authorities where required or permitted by law.

You have the right to request access to your personal information held by Hodge; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.

Hodge may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email. Please confirm if you would like to hear from us about these services:

By Phone By Email
By Mail By SMS

We will always treat your personal details with the utmost care and will never pass or sell your information to other companies for marketing purposes.

Declaration

Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- **Right of access** – you have the right to request a copy of the information that we hold about you as mentioned above
- **Right of rectification** – you have a right to correct data that we hold about you that is inaccurate or incomplete
- **Right to be forgotten** – in certain circumstances you can ask for the data we hold about you to be erased from our records
- **Right to restriction of processing** – where certain conditions apply you have a right to restrict the processing
- **Right of portability** – in certain circumstances, you have the right to have the data we hold about you transferred to another organisation
- **Right to object** – you have the right to object to certain types of processing such as direct marketing
- **Right to object to automated processing, including profiling** – protection against targeted marketing and decision making
- **Right to judicial review** - in the event that We refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

Fraud prevention

- If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering.
- Further details explaining how the information held by the fraud prevention agencies may be used can be obtained via our website, or by contacting us by phone on **0800 731 4076**.

I agree to the Terms and conditions of the account. I have read and understood the Use of Your Personal Data declaration and consent to the use of my information for the purposes stated. I declare that the information that I have provided is correct to the best of my knowledge and belief.

Discharge Authority

I consent that this application form (in it's entirety) may be sent to my existing pension provider(s) as authority to obtain the funds to set up my annuity with Hodge.

Signed

Print name

D	D	M	M	Y	Y
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Date

Financial Advice and Intermediary Remuneration

The way in which your intermediary is remunerated will depend on the type of service that has been provided to you. Your intermediary's remuneration may take the form of an adviser charge or a commission payment. Where an adviser charge is payable, you may request that Hodge facilitate the payment on your behalf.

This application form contains a number of declarations that concern the payment of adviser remuneration. Please read these carefully.

Did you receive advice in relation to this annuity purchase?

 Y N

Please confirm the method by which your intermediary will be paid for the work they have undertaken in relation to helping you set up this annuity. This should match the quote on which this application is based.

Please complete only one depending on the method of remuneration selected.

Commission

Adviser charge facilitated by Hodge

Total value of adviser charge payable or percentage of purchase price

(The amount that we will pay the adviser on your behalf)

I have made alternative arrangements to pay my adviser

Intermediary Declaration

I confirm that:

- Any copies of supporting documents are true and exact copies of the original documentation.
- I have provided the applicant with a copy of the quote, Key Features document, Terms and Conditions booklet and Cancellation Form.
- Where remuneration is to be paid via commission, the applicant did not receive financial advice before entering into this purchase.

Intermediary signature

Date

Name

Position

Full name of regulated firm

FCA reference number

Principal

Supporting Documents

	Applicant	Dependant (if any)
Age	<input type="checkbox"/> Birth certificate or	<input type="checkbox"/> Birth certificate or
	<input type="checkbox"/> Passport or	<input type="checkbox"/> Passport or
	<input type="checkbox"/> Photo driving license	<input type="checkbox"/> Photo driving license
Current name (only if the name now is different from the name on the document you provided above for age purposes)	<input type="checkbox"/> Marriage certificate or	<input type="checkbox"/> Marriage certificate or
	<input type="checkbox"/> Civil partnership certificate or	<input type="checkbox"/> Civil partnership certificate or
	<input type="checkbox"/> Deed poll or	<input type="checkbox"/> Deed poll or
	<input type="checkbox"/> Adoption certificate or	<input type="checkbox"/> Adoption certificate or
	<input type="checkbox"/> Other <input type="text"/>	<input type="checkbox"/> Other <input type="text"/>
Financial dependency (only if the annuity includes a dependant)	<input type="checkbox"/> Marriage certificate or	N/A
	<input type="checkbox"/> Civil partnership certificate	
	Or if living together as life partners:	
	<input type="checkbox"/> Joint bank account	
	<input type="checkbox"/> Joint utility bill	
	<input type="checkbox"/> Joint mortgage/insurance policy	
	<input type="checkbox"/> Other <input type="text"/>	
Orders against the pension fund (If any)	<input type="checkbox"/> Pension sharing order	N/A
	<input type="checkbox"/> Bankruptcy order	

Where appropriate, we prefer receiving photocopied or scanned copies of the original documents. Please sign, date and print your name on each one, stating "I certify this to be a true copy of the original". We will return original documents to the applicant and /or dependant as soon as possible.



0800 023 7233



annuityadmin@hodgelifetime.co.uk



Customers: hodgebank.co.uk

Advisers: hodgebank.co.uk/intermediaries