# Commercial Investment Application Form



Please complete this form as accurately as possible as missing information may affect our decision.

Entity Type	Individual	Partnership	Limited Liability Partnership
	Limited Company	Pension	Trust
Existing customer	ΥΝ	Please provide account number(s)	

## Broker/Introducer Details

Company name	
Broker/Introducer name	
Contact number	FCA number
Email	
Correspondence address	
	Postcode

## **Borrower Information**

Registered name			
Company number		Date of incorporation	D D M M Y Y
Correspondence email address			
Correspondence telephone number			
Registered address			
	Postcode		
Main trading/ correspondence address			
	Postcode		

## Personal Details

This section must be completed for all applicants to the loan.

For corporate applicants, this includes all Directors as well as all shareholders with 25% or more shareholding.

	Key person 1	Key person 2
Title	Mr Mrs Miss Ms	Mr Mrs Miss Ms
	Other	Other
Full forename(s)		
Surname		
Date of birth	D D M M Y Y	D D M M Y Y
Nationality		
Email		
Contact number		
Current address		
	Postcode	Postcode
Date from		
Date from	M M Y Y	M M Y Y
Ownership	%	%
	Director Shareholder	Director Shareholder
	Partner Trustee	Partner Trustee
	Key person 3	Key person 4
Title	Mr Mrs Miss Ms	Mr Mrs Miss Ms
	Other	Other
Full forename(s)		
Surname		
Date of birth	D D M M Y Y	D D M M Y Y
Nationality		

	Key person 3		Key person 4		
Email					
Contact number					
Current address					
	Postcode		Postcode		
Date from	M M Y Y	/	MY	Υ	
Ownership	%		%	%	
	Director Sh	areholder	Director	Shareholder	
	Partner Tr	ustee	Partner	Trustee	
We need details of all the addresses	you've lived at during	the last 3 years.			
Please continue in "Additional Inform	nation" if necessary.				
Insolvency Declaration					
	Key person 1	Key person 2	Key person 3	Key person 4	
Have you (or, in the case of an applican director or other owner of the applican involved in any court proceedings for d	t) ever been made insolv				
	Yes No	Yes No	Yes No	Yes No	
If you are a director or owner of a comp corporate body which has been insolve amalgamation or reconstruction of a so proceedings for debt?	ent or entered into liquido	ation, whether compulsor	y or voluntary (save fo	or the purpose of	
	Yes No	Yes No	Yes No	Yes No	
This must be completed in all cases wh	ere the applicant is a co	mpany or other corporat	e body.		
Has the company or other corporate be for the purpose of amalgamation or rec involved in any court proceedings for d	construction of a solvent				
	Yes No	Yes No	Yes No	Yes No	
Ever been refused a mortgage?	Yes No	Yes No	Yes No	Yes No	
Any pending / imminent court proceedings against you?	Yes No	Yes No	Yes No	Yes No	
Ever been declared bankrupt? (or had any bankruptcy petition presented against you)	Yes No	Yes No	Yes No	Yes No	
Had a judgement for bad debt recorded against you?	Yes No	Yes No	Yes No	Yes No	
Failed to keep up payments under any loan?	Yes No	Yes No	Yes No	Yes No	

If you have answered 'Yes" to any of the questions above, please provide full details in the "Additional Information" section.

## Loan Requirements

Desired loan amount	2
Desired term	years
Desired loan type	Capital & interest repayment Interest only
Transaction type	Purchase Re-mortgage Capital raise
Is VAT applicable on the property?	Purchase     Re-mortgage     If yes, how much?     £

## **Security Property Details**

If you have more than two properties, please provide full details in the "Additional Information" section.

	Property 1	Property	2
Address			
	Postcode	Postcoc	le
l <b>f residential</b> (House, Flat Studio, Freehold block)		If commercial (Office, Industrial, Re Semi-commercial, M use, Other)	
Number of lettable units			
Tenure freehold, long lea	asehold		
Leasehold years unexpire (if applicable)	ed		
Year built/New build			
Property already owned			
Date of purchase			
Purchase price	£		£
Estimated value	£		£
Estimated rental value (p	£		3
Estimated mortgage bala	ance £		3
Existing lender			

#### Vendor or Sales/Lettings agent contact details (for access purposes)

Name	
Relationship to borrower (no relation, family, business partner, other)	
Contact number	
Email	
Address	
	Postcode
Cost per annum	

## **Professional Advisors Information**

#### Solicitor/Conveyancer

Firm name	
Main contact name	
Qualifying/Regulating body	
Email	
Telephone	
DX	
Registered address	
	Postcode

#### Insurance

Property insurance, liability insurance and any other relevant commercial insurances must be arranged by you to support this loan application. You must ensure that the property is insured as long as the loan exists in line with the reinstatement value confirmed in the valuation undertaken on behalf of the Bank. Please ensure that for Multi-Unit Block or concentrated assets offered as security to the Bank include full Composite / Co-Insured policies. Where there is a dispersed portfolio or where there is a small number of leaseholds in a wider building, Interest Noted is acceptable for insurance purposes.

## Declaration

I understand, confirm and agree the following:

#### Use of your Personal Data

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Hodge who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application
- Verifying your identity and anti-money laundering checks
- Assist in fraud prevention
- Reporting to regulators and authorities
- Market and product analysis.

The information held about you may be shared with the following parties:

- Hodge's approved service providers in relation to this application; Other members of Hodge's group, its subsidiaries and associated companies
- Regulators or authorities where required or permitted by law.

You have the right to request access to your personal information held by Hodge; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.

Hodge may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email.

Please confirm if you would like to hear from us about these services:

Key person 1	Key person 2	Key person 3	Key person 4
By Phone	By Phone	By Phone	By Phone
By Mail	By Mail	By Mail	By Mail
By Email	By Email	By Email	By Email
By SMS	By SMS	By SMS	By SMS

We will always treat your personal details with the utmost care and will never pass or sell your information to other companies for marketing purposes.

#### Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- Right of access you have the right to request a copy of the information that we hold about you as mentioned above
- Right of rectification you have a right to correct data that we hold about you that is inaccurate or incomplete
- Right to be forgotten in certain circumstances you can ask for the data we hold about you to be erased from our records
- Right to restriction of processing where certain conditions apply you have a right to restrict the processing
- Right of portability in certain circumstances, you have the right to have the data we hold about you transferred to another organisation
- Right to object you have the right to object to certain types of processing such as direct marketing
- Right to object to automated processing, including profiling protection against targeted marketing and decision making
- Right to judicial review in the event that We refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

## Declaration

#### Credit searches and fraud prevention

Hodge will search credit reference and fraud prevention agencies to check your identity, credit status and help us to make decisions.

- Hodge will undertake credit reference and fraud prevention searches against all key parties to the business
- Information on applications will be sent to credit reference agencies and will be recorded by them
- The credit reference agency will check the details supplied against third party databases, public or otherwise
- A record of the search will be retained
- The credit reference agency may use the details provided to assist other companies for verification and identification purposes
- If we decline this application, we are not obliged to tell you the reasons behind our decision
- If you borrow from us, we will give details of your accounts and how you manage it/them to credit reference agencies. If you borrow and do not repay in full and on time, credit reference agencies will record the outstanding debt. This information may be supplied to other organisations by credit reference agencies and fraud prevention agencies to perform similar checks and to trace your whereabouts and recover debts that you owe. Records remain on file for six years after they are closed, whether settled by you or defaulted
- If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering
- Full details of what we do and how we, credit reference and fraud prevention agencies will use your information are published on our website. Alternatively, a copy can be sent to you on request. Please phone us on 0800 731 4076.

#### **Customer Declaration**

- I agree to the Terms and Conditions of the account
- I have read and understood the Use of Your Personal Data declaration and how my information is used for the purposes stated
- I declare that the information that I have provided is correct to the best of my knowledge and belief
- I confirm that I wish to proceed on the terms set out in the Decision in Principle
- Where relevant, I enclose payment in order for a chartered surveyor to value my property. This is not a survey
- I understand that this fee is not refundable, even if the property is not eligible for this loan. Payment of this fee does not mean that Hodge is obliged to accept my application
- I understand that this loan will be subject to the Terms and Conditions (These will be provided to you with any offer we issue but in the meantime, they can also be downloaded from our website and are available on request)
- I have the right to withdraw from this loan application at any time before it is complete and
   I have received the monies. However, if I withdraw, I will not receive a refund of any fees I have paid to Hodge and I may still be required to pay my financial adviser and solicitor for the work they have undertaken on my behalf
- All the details in my Decision in Principle and this application are, to the best of my knowledge and belief, true and complete. If Hodge finds any to be untrue, it could affect my eligibility for the mortgage or reduce the amount I may borrow.

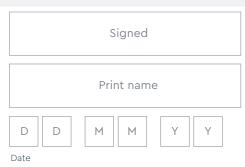
## Key person 1



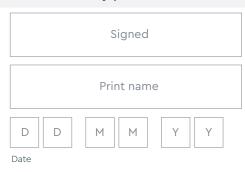
Signed
Print name
D D M M Y Y
Date

Key person 2

Key person 3



#### Key person 4







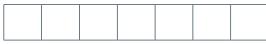
Please fill in this form and send it to us on online or to:



## Names(s) of account holder(s)



### Bank/building society account number



### Branch sort code

 $\mathbf{X}$ 



### Name and full postal address of your bank or building society

To: The Manager Bank/building society	Sign
Address	
	Date
Postcode	

Instructions to your bank or building society to pay by direct debit

#### Service user number



#### Reference



### Instructions to your bank or building society

Please pay Hodge Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Hodge and, if so, details will be passed electronically to my bank/building society.

ature(s)



- The Direct Debit Guarantee
- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Hodge will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Hodge to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Hodge or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when Hodge asks you too
- You can cancel a Direct Debit at any time simply by contacting your bank or building society. Written confirmation may be required. Please also notify us.

Additional Information (Should you require more space, please continue on separate sheets of paper)

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