

Please complete this form as accurately as possible as missing information may affect our decision.

Entity Type Individual Partnership Limited Liability Partnership
 Limited Company Pension Trust

Existing customer Y N Please provide account number(s)

Broker/Introducer Details

Company name

Broker/Introducer name

Contact number FCA number

Email

Correspondence address
Postcode

Borrower Information

Registered name

Company number Date of incorporation

Correspondence email address

Correspondence telephone number

Registered address
Postcode

Main trading/ correspondence address
Postcode

Personal Details

This section must be completed for all applicants to the loan.

For corporate applicants, this includes all Directors as well as all shareholders with 25% or more shareholding.

	Key person 1	Key person 2
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other
Full forename(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y
Nationality	<input type="text"/>	<input type="text"/>
Email	<input type="text"/>	<input type="text"/>
Contact number	<input type="text"/>	<input type="text"/>
Current address	<input type="text"/> Postcode	<input type="text"/> Postcode
Date from	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y
Ownership	<input type="text"/> % Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Partner <input type="checkbox"/> Trustee <input type="checkbox"/>	<input type="text"/> % Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Partner <input type="checkbox"/> Trustee <input type="checkbox"/>
	Key person 3	Key person 4
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other
Full forename(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y
Nationality	<input type="text"/>	<input type="text"/>

	Key person 3	Key person 4
Email	<input type="text"/>	<input type="text"/>
Contact number	<input type="text"/>	<input type="text"/>
Current address	<input type="text"/> Postcode	<input type="text"/> Postcode
Date from	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y
Ownership	<input type="text"/> % Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Partner <input type="checkbox"/> Trustee <input type="checkbox"/>	<input type="text"/> % Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Partner <input type="checkbox"/> Trustee <input type="checkbox"/>

We need details of all the addresses you've lived at during the last 3 years.
Please continue in "Additional Information" if necessary.

Insolvency Declaration

	Key person 1	Key person 2	Key person 3	Key person 4
Have you (or, in the case of an applicant which is a company or other corporate body or pension scheme, any other person who is a director or other owner of the applicant) ever been made insolvent, bankrupt made by voluntary arrangement with creditors or been involved in any court proceedings for debt?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If you are a director or owner of a company or other corporate body, have you ever been a director or owner of a company or other corporate body which has been insolvent or entered into liquidation, whether compulsory or voluntary (save for the purpose of amalgamation or reconstruction of a solvent company), or had a receiver appointed of its undertakings or been involved in any court proceedings for debt?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
This must be completed in all cases where the applicant is a company or other corporate body.				
Has the company or other corporate body ever been insolvent or entered into administration, whether compulsory or voluntary (save for the purpose of amalgamation or reconstruction of a solvent company), or had a receiver appointed of its undertakings or been involved in any court proceedings for debt.	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Ever been refused a mortgage?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Any pending / imminent court proceedings against you?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Ever been declared bankrupt? (or had any bankruptcy petition presented against you)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Had a judgement for bad debt recorded against you?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Failed to keep up payments under any loan?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered 'Yes' to any of the questions above, please provide full details in the "Additional Information" section.

Loan Requirements

Desired loan amount

Desired term

Desired loan type Capital & interest repayment Interest only

Transaction type Purchase Re-mortgage Capital raise

Is VAT applicable on the property? Purchase Re-mortgage If yes, how much?

Security Property Details

If you have more than two properties, please provide full details in the "Additional Information" section.

	Property 1	Property 2
Address	<input type="text"/>	<input type="text"/>
	<input type="text" value="Postcode"/>	<input type="text" value="Postcode"/>
If residential (House, Flat Studio, Freehold block)	<input type="text"/>	If commercial (Office, Industrial, Retail, Semi-commercial, Mixed use, Other)
	<input type="text"/>	<input type="text"/>
Number of lettable units	<input type="text"/>	<input type="text"/>
Tenure freehold, long leasehold	<input type="text"/>	<input type="text"/>
Leasehold years unexpired (if applicable)	<input type="text"/>	<input type="text"/>
Year built/New build	<input type="text"/>	<input type="text"/>
Property already owned	<input type="text"/>	<input type="text"/>
Date of purchase	<input type="text"/>	<input type="text"/>
Purchase price	<input type="text" value="£"/>	<input type="text" value="£"/>
Estimated value	<input type="text" value="£"/>	<input type="text" value="£"/>
Estimated rental value (p.a.)	<input type="text" value="£"/>	<input type="text" value="£"/>
Estimated mortgage balance	<input type="text" value="£"/>	<input type="text" value="£"/>
Existing lender	<input type="text"/>	<input type="text"/>

Declaration

I understand, confirm and agree the following:

Use of your Personal Data

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Hodge who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application
- Verifying your identity and anti-money laundering checks
- Assist in fraud prevention
- Reporting to regulators and authorities
- Market and product analysis.

The information held about you may be shared with the following parties:

- Hodge's approved service providers in relation to this application; Other members of Hodge's group, its subsidiaries and associated companies
- Regulators or authorities where required or permitted by law.

You have the right to request access to your personal information held by Hodge; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.

Hodge may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email.

Please confirm if you would like to hear from us about these services:

Key person 1	Key person 2	Key person 3	Key person 4
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By Phone	<input type="checkbox"/>	By Phone	<input type="checkbox"/>	By Phone	<input type="checkbox"/>	By Phone	<input type="checkbox"/>
By Mail	<input type="checkbox"/>	By Mail	<input type="checkbox"/>	By Mail	<input type="checkbox"/>	By Mail	<input type="checkbox"/>
By Email	<input type="checkbox"/>	By Email	<input type="checkbox"/>	By Email	<input type="checkbox"/>	By Email	<input type="checkbox"/>
By SMS	<input type="checkbox"/>	By SMS	<input type="checkbox"/>	By SMS	<input type="checkbox"/>	By SMS	<input type="checkbox"/>

We will always treat your personal details with the utmost care and will never pass or sell your information to other companies for marketing purposes.

Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- **Right of access** – you have the right to request a copy of the information that we hold about you as mentioned above
- **Right of rectification** – you have a right to correct data that we hold about you that is inaccurate or incomplete
- **Right to be forgotten** – in certain circumstances you can ask for the data we hold about you to be erased from our records
- **Right to restriction of processing** – where certain conditions apply you have a right to restrict the processing
- **Right of portability** – in certain circumstances, you have the right to have the data we hold about you transferred to another organisation
- **Right to object** – you have the right to object to certain types of processing such as direct marketing
- **Right to object to automated processing, including profiling** – protection against targeted marketing and decision making
- **Right to judicial review** – in the event that We refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

Declaration

Credit searches and fraud prevention

Hodge will search credit reference and fraud prevention agencies to check your identity, credit status and help us to make decisions.

- Hodge will undertake credit reference and fraud prevention searches against all key parties to the business
- Information on applications will be sent to credit reference agencies and will be recorded by them
- The credit reference agency will check the details supplied against third party databases, public or otherwise
- A record of the search will be retained
- The credit reference agency may use the details provided to assist other companies for verification and identification purposes
- If we decline this application, we are not obliged to tell you the reasons behind our decision
- If you borrow from us, we will give details of your accounts and how you manage it/them to credit reference agencies. If you borrow and do not repay in full and on time, credit reference agencies will record the outstanding debt. This information may be supplied to other organisations by credit reference agencies and fraud prevention agencies to perform similar checks and to trace your whereabouts and recover debts that you owe. Records remain on file for six years after they are closed, whether settled by you or defaulted
- If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering
- Full details of what we do and how we, credit reference and fraud prevention agencies will use your information are published on our website. Alternatively, a copy can be sent to you on request. Please phone us on 0800 731 4076.

Customer Declaration

- I agree to the Terms and Conditions of the account
- I have read and understood the Use of Your Personal Data declaration and how my information is used for the purposes stated
- I declare that the information that I have provided is correct to the best of my knowledge and belief
- I confirm that I wish to proceed on the terms set out in the Decision in Principle
- Where relevant, I enclose payment in order for a chartered surveyor to value my property. This is not a survey
- I understand that this fee is not refundable, even if the property is not eligible for this loan. Payment of this fee does not mean that Hodge is obliged to accept my application
- I understand that this loan will be subject to the Terms and Conditions (These will be provided to you with any offer we issue but in the meantime, they can also be downloaded from our website and are available on request)
- I have the right to withdraw from this loan application at any time before it is complete and I have received the monies. However, if I withdraw, I will not receive a refund of any fees I have paid to Hodge and I may still be required to pay my financial adviser and solicitor for the work they have undertaken on my behalf
- All the details in my Decision in Principle and this application are, to the best of my knowledge and belief, true and complete. If Hodge finds any to be untrue, it could affect my eligibility for the mortgage or reduce the amount I may borrow.

Key person 1

D	D	M	M	Y	Y
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Date

Key person 2

D	D	M	M	Y	Y
---	---	---	---	---	---

Date

Key person 3

D	D	M	M	Y	Y
---	---	---	---	---	---

Date

Key person 4

D	D	M	M	Y	Y
---	---	---	---	---	---

Date

Please fill in this form and send it to us on online or to:

Hodge One Central Square Cardiff CF10 1FS
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**Instructions to your bank
or building society to pay
by direct debit**

Service user number

9	3	0	5	6	4
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Names(s) of account holder(s)

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Bank/building society account number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Instructions to your bank or
building society**

Please pay Hodge Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Hodge and, if so, details will be passed electronically to my bank/building society.

Branch sort code

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**Name and full postal address of your bank or
building society**

To: The Manager Bank/building society
Address
Postcode

Signature(s)
Date



The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Hodge will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Hodge to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Hodge or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when Hodge asks you too
- You can cancel a Direct Debit at any time simply by contacting your bank or building society. Written confirmation may be required. Please also notify us.



0800 021 7823



clend@hodge.co.uk



hodgebank.co.uk/commercial

Hodge is a trading name of Julian Hodge Bank Limited which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority under registration number 204439. Registered office in England & Wales is One Central Square, Cardiff, CF10 1FS (No.743437). Hodge's Privacy Notice confirms how we manage and process your personal data. If you require more detail on how we handle your information please go to hodgebank.co.uk/privacy or call 0800 289 358.



HODGE